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HDP/SB/21 based on PTO/SB/21 (08-00)

TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

Application Number 10/040,930

Filing Date October 24, 2001

First Named Inventor Hisanori KAWAKAMI

Group Art Unit 2875

Examiner Name Alan B. CARIASO

Attorney Docket Number 9319S-000285

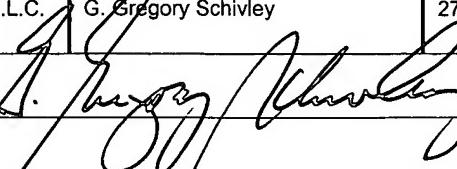
ENCLOSURES (check all that apply)

 Fee Transmittal Form Assignment Papers
(for an Application) After Allowance Communication to Group Fee Attached Drawing(s) Appeal Communication to Board of Appeals and Interferences Amendment / Response Licensing-related Papers Appeal Communication to Group
(Appeal Notice, Brief, Reply Brief) After Final Petition Proprietary Information Affidavits/declaration(s) Petition to Convert to a Provisional Application Status Letter Extension of Time Request Power of Attorney, Revocation Change of Correspondence Address Other Enclosure(s)
(please identify below): Express Abandonment Request Terminal Disclaimer

Make of Record Letter and return post card

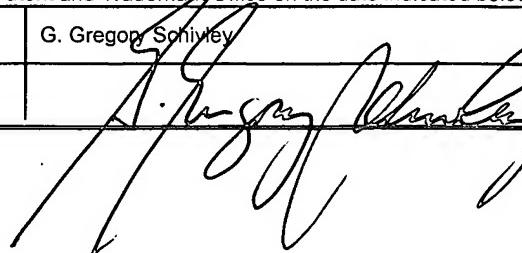
 Information Disclosure Statement Request for Refund Certified Copy of Priority Document(s) CD, Number of CD(s) _____Remarks
The Commissioner is hereby authorized to charge any additional fees that may be required under 37 CFR 1.16 or 1.17 to Deposit Account No. 08-0750. A duplicate copy of this sheet is enclosed. Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Harness, Dickey & Pierce, P.L.C.	Attorney Name G. Gregory Schivley	Reg. No. 27,382
Signature			
Date	January 7, 2004		

CERTIFICATE OF MAILING/TRANSMISSION

I hereby certify that this correspondence is being deposited with the United States Postal Service as express mail in an envelope addressed to: Director of the U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450, or facsimile transmitted to the U.S. Patent and Trademark Office on the date indicated below.

Typed or printed name	G. Gregory Schivley	Express Mail Label No.	EV 406 075 731 US
Signature		Date	January 7, 2004

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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Notice of Allowance Mailed: October 7, 2003

Application No.: 10/040,930
Filing Date: October 24, 2001

Applicant: Hisanori KAWAKAMI

Group Art Unit: 2875
Examiner: Alan B. CARIASO

Title: ILLUMINATION DEVICE AND LIQUID CRYSTAL APPARATUS USING THE SAME

Attorney Docket: 9319S-000285

Director of the United States Patent and Trademark Office
P.O. Box 1450
Alexandria, VA 22313-1450

MAKE OF RECORD LETTER

Sir:

The issue fee for the above-identified allowed application was forwarded to the U.S. PTO on December 1, 2003. Please make the information set forth on the enclosed Form 1449 of record in this file. CN1158432A and GB2165631A were cited in a search report for a corresponding Chinese patent application. EP 0 717 236A2 corresponds to CN 1158432A. Applicant makes no admission that the disclosures in these documents affect the presumption of validity for the claims in this issued patent. Applicant also believes the documents are not critical to the patentability of this allowed patent application.

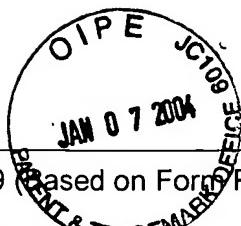
Respectfully submitted,

By:

G. Gregory Schivley
Reg. No. 27,382

Dated: January 7, 2004

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FORM HDP-1449 (Based on Form PTO-1449)

**PATENT AND TRADEMARK OFFICE
INFORMATION DISCLOSURE CITATION**
(Use several sheets if necessary)

Sheet 1 of 1

ATTORNEY DOCKET NO.	SERIAL No.
9319S-000285	10/040,930
APPLICANT	
Hisanori KAWAKAMI	
FILING DATE	GROUP
October 24, 2001	2875

U.S. PATENT DOCUMENTS

Ref. Desig.	Examiner's Initials	Document Number	Date	Name	Class/ Subclass	(If appropriate) Filing Date
1.						

FOREIGN PATENT DOCUMENTS

Ref. Desig.	Examiner's Initials	Document Number	Date	Country	Class/ Subclass	Translation * Yes	No
1.		0 717 236	12/14/1995	Europe		X	
2.		2165631A	4/16/1986	Great Britain			X
3.		1158432A	9/3/1997	China			X

OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, etc.)

Ref. Desig.	Examiner's Initials	
1.		Communication from Chinese Patent Office re: counterpart application.

* One or more of the English translation documents submitted herewith may be Abstracts only or partial machine created translations from the Japanese Patent Office. As such, the submitter does not necessarily vouch for their accuracy. Additional information may be obtained from the Japanese Patent Office web site at www.jpo.go.jp.

Examiner:

Date Considered:

EXAMINER: Please initial if citation considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.